



Sector 63  
#3

Dkt. #66223 CCD

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Yasuo SAWADA et al.

Serial No.: 10/005,967 /

Filed : November 12, 2001

For : OPTICAL INFORMATION RECORDING MEDIUM

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

1185 Ave. of the Americas  
New York, N.Y. 10036  
January 14, 2002

Hon. Commissioner of Patents and Trademarks  
Washington, D.C. 20231

S I R:

In response to the Notice to File Missing Parts of Application dated January 7, 2002, applicants are submitting herewith a duly signed Declaration and a check in payment of the \$130 surcharge required under 37 C.F.R. § 1.16(e).

The Declaration give the names of the inventors and the title of the application, and identifies the application by filing date and attorney docket number. The undersigned attorney of record hereby states that the application filed in the U.S. Patent and Trademark Office on November 12, 2001, under Serial No. 10/005,967, is the application which the inventors executed by signing the attached Declaration.

If any additional fee is required in connection with the instant submission, it is requested that such additional fee be charged to Deposit Account No. 03-3125. A duplicate of this paper is attached.

Respectfully,

Christopher C. Dunham  
Christopher C. Dunham  
Reg. No. 22,031  
Attorney for Applicants  
Tel. (212) 278-0400

I hereby certify that this paper is being deposited this date with the U.S. Postal Service as first class mail addressed to Assistant Commissioner for Patents, Washington, D.C. 20231.

Christopher C. Dunham  
Christopher C. Dunham, Reg. No. 22,031  
Date JAN. 14, 2002



# UNITED STATES PATENT AND TRADEMARK OFFICE

#3  
 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/005,967	11/12/2001	Yasuo Sawada	66223 CCD

**CONFIRMATION NO. 2638**

**FORMALITIES LETTER**



\*OC00000007273578\*

COOPER & DUNHAM LLP  
 1185 Ave. of the Americas  
 New York, NY 10036

Date Mailed: 01/07/2002

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

  
 Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/31/2002 MAHMED1 00000017 10005967

01 FC:105

130.00 0P